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PATENT APPLICATION

Attorney Docket No. 15029-00002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Wang et al.  
Application No.: 09/977,013  
Filed: October 12, 2001  
For: System and Method for Ameliorating  
Subcontracting Risk

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CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited  
with the United States Postal Service as first class mail  
in an envelope addressed to: Commissioner for  
Patents, Washington, D.C. 20231, on this date.

2/4/02  
Date

Martin L. Larson  
Registration No. 37,378  
Attorney for Applicant(s)

RESPONSE TO NOTICE TO FILE MISSING PARTS AND  
PETITION FOR EXTENSION OF TIME

Box MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated November 14, 2001, enclosed are:

- (X) A copy of the Notice to File Missing Parts.
- (X) An executed Declaration for Patent Application, including Power of Attorney.
- (X) Fee Calculation:

Surcharge under 37 C.F.R. §1.16(e) for a Small Entity      \$ 65.00  
(Nonprovisional Application).

- (X) A check in the amount of \$ 65.00 to cover the Surcharge Fee is enclosed.
- (X) Drawings (17 sheets)

Responsive to the Notice to File Missing Parts, corrective replacement drawings are enclosed.  
Applicants respectfully submit that no new matter has been incorporated.

## (X) Petition for Extension of Time:

Applicant(s) hereby petition(s) under 37 CFR §1.136(a) for an extension of time for reply in the above-identified application for the period required to make the accompanying reply timely, or, if there be no accompanying reply, for the period for which the fee is indicated or the period necessary to prevent abandonment of the above-identified application if longer than the period for which the fee is indicated.

EXTENSION (Months)	FEE FOR LARGE ENTITY	FEE FOR SMALL ENTITY
One Month	\$110.00	XX \$55.00
Two Month	\$390.00	\$195.00
Three Month	\$890.00	\$445.00
Four Month	\$1,390.00	\$695.00
Fifth Month	\$1,890.00	\$945.00

(X) A check in the amount of \$ 55.00 to cover Extension of Time Fee is enclosed.

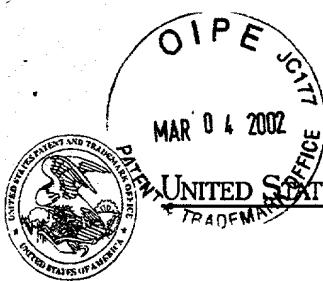
(X) Charge \$ \_\_\_\_\_ to Deposit Account No. 50-1214.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 50-1214. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1214. This sheet is filed in duplicate.

2/14/02  
(Date)

Martin T. LeFevour  
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MAR 04 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/977,013	10/12/2001	Wang	KMZ1

27160  
 PATENT ADMINISTRATOR  
 KATTEN MUCHIN ZAVIS  
 SUITE 1600  
 525 WEST MONROE STREET  
 CHICAGO, IL 60661

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## CONFIRMATION NO. 2658

## FORMALITIES LETTER



\*OC000000007067162\*

Date Mailed: 11/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

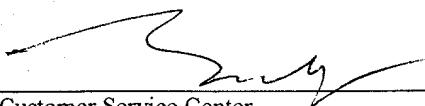
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE